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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fan Docket No.: FAN-001  
Serial No.: 10/796,369 Art Unit: 3745  
Filed: March 9, 2004 Examiner: TBD  
For: Wind Powered Turbine in a Tunnel

**Certificate of Mailing via First Class Mail (37 C.F.R. § 1.8(a))**

Date of Deposit: June 23, 2004

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Certificate of Mailing via First Class Mail (1 page)  
Information Disclosure Statement (1 page)  
PTO/SB/08A with 313 References Cited (10 pages)  
Copy of 15 Cited References  
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Respectfully submitted,

Kristin Hayes  
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Applicant: Fan

Attorney Docket: FAN-001

Filed: March 9, 2004

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Serial No.: 10/796,369

Art Unit: 3745

For: Wind Powered Turbine In A Tunnel

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicant wishes to bring to the attention of the Patent and Trademark Office the information noted on the enclosed form PTO/SB/08A that may be considered material to the examination of the above-identified application.

No fee is due at this time, as this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b)(3), before the mailing of a first Office action on the merits.

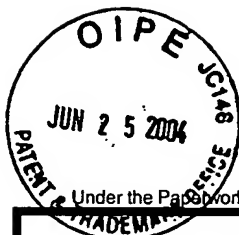
Pursuant to 37 CFR 1.98(a)(2)(i), as amended, copies of U.S. Patents cited are not being submitted. However, Applicant has included copies of any non-patent literature.

Respectfully submitted,

6/23/2004  
Date

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/796,369
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				First Named Inventor	Fan
				Art Unit	3745
				Examiner Name	TBD
Sheet	1	of	10	Attorney Docket Number	FAN-001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US- 104,918	06-28-1870	Clair	
	2	US- 143,100	09-23-1873	Sheplar et al.	
	3	US- 175,530	03-28-1876	Vemor	
	4	US- 201,400	03-19-1878	Everhart	
	5	US- 231,253	08-17-1880	Austin	
	6	US- 274,541	03-27-1883	Zwiebel	
	7	US- 399,171	03-05-1889	Townsend	
	8	US- 410,360	09-03-1889	Ham	
	9	US- 416,170	12-03-1889	Kirby Jr.	
	10	US- 419,435	01-14-1890	Goodyear	
	11	US- 434,108	08-12-1890	Gatlin	
	12	US- 455,858	07-14-1891	Pepper	
	13	US- 485,933	11-08-1892	Herman	
	14	US- 535,193	03-05-1895	Chapman	
	15	US- 537,494	04-16-1895	Stevens et al.	
	16	US- 578,759	03-16-1897	McElroy	
	17	US- 588,572	08-24-1897	Hardaway	
	18	US- 607,668	07-19-1898	Tinsley	
	19	US- 640,901	01-09-1900	Hardaway	
	20	US- 648,442	05-01-1900	Scott	
	21	US- 663,337	12-04-1900	Gemmill	
	22	US- 719,939	02-03-1903	Gwinn et al.	
	23	US- 764,571	07-12-1904	Fisher	
	24	US- 833,184	10-16-1906	Terzian	
	25	US- 923,698	06-01-1909	Perry	
	26	US- 932,253	08-24-1909	Daub	
	27	US- 973,823	10-25-1910	Stamm	
	28	US- 984,599	02-21-1911	Pichault	
	29	US- 993,120	05-23-1911	Stemer	
	30	US- 997,802	07-11-1911	Geofroy	
	31	US- 1,002,833	09-12-1911	Giddings	
	32	US- 1,015,416	01-23-1912	Bennett	
	33	US- 1,062,099	05-20-1913	Hoglund	
	34	US- 1,075,994	10-14-1913	Serramoglia et al.	
	35	US- 1,233,232	07-10-1917	Heyroth	
	36	US- 1,234,405	07-24-1917	Solomon	

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(Use as many sheets as necessary)***Complete if Known**

Application Number	10/796,369
Filing Date	March 9, 2004
First Named Inventor	Fan
Art Unit	3745
Examiner Name	TBD
Attorney Docket Number	FAN-001

Sheet	2	of	10
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	37	US- 1,263,983	04-23-1918	Winne	
	38	US- 1,300,499	04-15-1919	Slagel	
	39	US- 1,313,457	08-19-1919	Bowman	
	40	US- 1,315,595	09-09-1919	Clark	
	41	US- 1,329,668	02-03-1920	Lee	
	42	US- 1,342,206	06-01-1920	Ellehammer	
	43	US- 1,345,022	06-29-1920	Oliver	
	44	US- 1,413,411	04-18-1922	Lloyd et al.	
	45	US- 1,433,995	10-31-1922	Fowle	
	46	US- 1,441,774	01-09-1923	Adams	
	47	US- 1,455,950	05-22-1923	Willman	
	48	US- 1,471,095	10-16-1923	Bonetto	
	49	US- 1,503,061	07-29-1924	Pendergast	
	50	US- 1,523,295	01-13-1925	Ryan	
	51	US- 1,533,467	04-14-1925	Sargent	
	52	US- 1,534,799	04-21-1925	Maine	
	53	US- 1,578,923	03-30-1926	Schlotter	
	54	US- 1,583,165	05-04-1926	Nicholson	
	55	US- 1,596,373	08-17-1926	Preston	
	56	US- 1,615,675	01-25-1927	Bender	
	57	US- 1,646,723	10-25-1927	Bonetto	
	58	US- 1,758,560	05-13-1930	Currie	
	59	US- 1,766,765	06-24-1930	Savonius	
	60	US- 1,790,175	01-27-1931	Spencer	
	61	US- 1,820,818	08-25-1931	McRae	
	62	US- 1,835,018	12-08-1931	Darrieus	
	63	US- 1,851,513	03-29-1932	Holmstrom	
	64	US- 1,876,595	09-13-1932	Beldimano	
	65	US- 1,903,307	04-04-1933	Gillio	
	66	US- 1,935,097	11-14-1933	Nelson	
	67	US- 1,944,239	01-23-1934	Honnef	
	68	US- 1,958,145	05-08-1934	Jones	
	69	US- 2,007,963	07-16-1935	Cleveland	
	70	US- 2,067,542	01-12-1937	Penton	
	71	US- 2,068,792	01-26-1937	Dekker	
	72	US- 2,096,119	10-19-1937	Lensch et al.	

Examiner Signature		Date Considered	
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Sheet	3	of	10		

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		Number - Kind Code <sup>2</sup> (if known)			
	73	US- 2,106,928	02-01-1938	Lee	
	74	US- 2,137,559	11-22-1938	Algee	
	75	US- 2,137,579	11-22-1938	Lundholm et al.	
	76	US- 2,153,523	04-04-1939	Roberts et al.	
	77	US- 2,169,149	08-08-1939	Johanson	
	78	US- 2,237,857	04-08-1941	Winderl	
	79	US- 2,294,009	08-25-1942	Umhoefer et al.	
	80	US- 2,335,817	11-30-1943	Topalov	
	81	US- 2,339,602	01-18-1944	Hagen	
	82	US- 2,358,781	09-26-1944	Albers	
	83	US- 2,379,324	06-26-1945	Topalov	
	84	US- 2,406,268	08-20-1946	Terhune	
	85	US- 2,407,816	09-17-1946	Czemer	
	86	US- 2,431,111	11-18-1947	Du Brie	
	87	US- 2,458,419	01-04-1949	Reinmann	
	88	US- 2,517,135	08-01-1950	Rudisill	
	89	US- 2,563,279	08-07-1951	Rushing	
	90	US- 2,653,754	09-29-1953	McDonald	
	91	US- 2,664,961	01-05-1954	Goede	
	92	US- 2,667,589	01-26-1954	Levrero	
	93	US- 2,701,526	02-08-1955	Rotkin	
	94	US- 3,059,834	10-23-1962	Hausammann	
	95	US- 3,128,939	04-14-1964	Szydlowski	
	96	US- 3,168,048	02-02-1965	Toyokura et al.	
	97	US- 3,192,712	07-06-1965	Nash et al.	
	98	US- 3,228,475	01-11-1966	Worthmann	
	99	US- 3,302,552	02-07-1967	Walsh	
	100	US- 3,339,078	08-29-1967	Crompton	
	101	US- 3,345,931	10-10-1967	Walsh	
	102	US- 3,346,174	10-10-1967	Lievens et al.	
	103	US- 3,561,883	02-09-1971	Berry	
	104	US- 3,645,192	02-29-1972	Rousey	
	105	US- 3,720,840	03-13-1973	Gregg	
	106	US- 3,730,643	05-01-1973	Davison	
	107	US- 3,820,916	06-28-1974	Agushev et al.	
	108	US- 3,883,750	05-13-1975	Uzzell, Jr.	

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Sheet	4	of	10	Attorney Docket Number	FAN-001

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		Number - Kind Code <sup>2</sup> (if known)			
	109	US- 3,895,885	07-22-1975	Mover	
	110	US- 3,902,072	08-26-1975	Quinn	
	111	US- 3,924,966	12-09-1975	Taminini	
	112	US- 3,942,909	03-09-1976	Yengst	
	113	US- 3,944,840	03-16-1976	Troll	
	114	US- 3,977,409	08-31-1976	Brendling	
	115	US- 3,994,621	11-30-1976	Bogie	
	116	US- 4,005,947	02-01-1977	Norton et al.	
	117	US- 4,012,163	03-15-1977	Baumgartner et al.	
	118	US- 4,017,204	04-12-1977	Sellman	
	119	US- 4,018,543	04-19-1977	Carson et al.	
	120	US- 4,021,135	05-03-1977	Pedersen et al.	
	121	US- 4,031,405	06-21-1977	Asperger	
	122	US- 4,036,916	07-19-1977	Agsten	
	123	US- 4,037,983	07-26-1977	Poeta	
	124	US- 4,039,848	08-02-1977	Winderl	
	125	US- 4,039,849	08-02-1977	Mater et al.	
	126	US- 4,045,144	08-30-1977	Loth	
	127	US- 4,047,832	09-13-1977	Sforza	
	128	US- 4,047,834	09-13-1977	Magoverny et al.	
	129	US- 4,055,950	11-01-1977	Grossman	
	130	US- 4,070,131	01-24-1978	Yen	
	131	US- 4,073,516	02-14-1978	Kling	
	132	US- 4,074,951	02-21-1978	Hudson	
	133	US- 4,075,500	02-21-1978	Oman et al.	
	134	US- 4,075,545	02-21-1978	Haberer	
	135	US- 4,076,448	02-28-1978	Sanders, Jr.	
	136	US- 4,079,264	03-14-1978	Cohen	
	137	US- 4,084,918	04-18-1978	Pavlecka	
	138	US- 4,086,026	04-25-1978	Tamanini	
	139	US- 4,088,419	05-09-1978	Hope et al.	
	140	US- 4,105,362	08-08-1978	Sforza	
	141	US- 4,111,594	09-05-1978	Sforza	
	142	US- 4,115,028	09-19-1978	Hintze	
	143	US- 4,116,581	09-26-1978	Bolie	
	144	US- 4,120,152	10-17-1978	Jackson, III	

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	145	US- 4,127,356	11-28-1978	Murphy	
	146	US- 4,132,499	01-02-1979	Igra	
	147	US- 4,134,707	01-16-1979	Ewers	
	148	US- 4,140,433	02-20-1979	Eckel	
	149	US- 4,142,822	03-06-1979	Herbert et al.	
	150	US- 4,143,992	03-13-1979	Crook	
	151	US- 4,147,472	04-03-1979	Kling	
	152	US- 4,154,556	05-15-1979	Webster	
	153	US- 4,156,580	05-29-1979	Pohl	
	154	US- 4,162,410	07-24-1979	Amick	
	155	US- 4,164,382	08-14-1979	Mysels	
	156	US- 4,178,124	12-11-1979	Puskas	
	157	US- 4,179,007	12-18-1979	Howe	
	158	US- 4,182,594	01-08-1980	Harper et al.	
	159	US- 4,191,505	03-04-1980	Kaufman	
	160	US- 4,204,126	05-20-1980	Diggs	
	161	US- 4,204,795	05-27-1980	Forrest	
	162	US- 4,204,799	05-27-1980	de Geus	
	163	US- 4,213,057	07-15-1980	Are	
	164	US- 4,213,734	07-22-1980	Lagg	
	165	US- 4,224,528	09-23-1980	Argo	
	166	US- 4,236,083	11-25-1980	Kenney	
	167	US- 4,242,050	12-30-1980	Oakes	
	168	US- 4,254,843	03-10-1981	Han et al.	
	169	US- 4,258,271	03-24-1981	Chappell et al.	
	170	US- 4,260,325	04-07-1981	Cymara	
	171	US- 4,265,086	05-05-1981	Bahrenburg	
	172	US- 4,266,403	05-12-1981	Hirbod	
	173	US- 4,275,309	06-23-1981	Lucier	
	174	US- 4,276,033	06-30-1981	Krovina	
	175	US- 4,278,896	07-14-1981	McFarland	
	176	US- 4,288,199	09-08-1981	Weisbrich	
	177	US- 4,288,200	09-08-1981	O'Hare	
	178	US- 4,288,704	09-08-1981	Bosard	
	179	US- 4,289,970	09-15-1981	Deibert	
	180	US- 4,291,235	09-22-1981	Bergey, Jr. et al.	

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			Filing Date	March 9, 2004	
			First Named Inventor	Fan	
			Art Unit	3745	
			Examiner Name	TBD	
Sheet	6	of	10	Attorney Docket Number	FAN-001

U.S. PATENT DOCUMENTS					
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		Number - Kind Code <sup>2</sup> (if known)			
	181	US-4,293,274	10-06-1981	Gilman	
	182	US-4,302,684	11-24-1981	Gogins	
	183	US-4,309,146	01-05-1982	Hein et al.	
	184	US-4,315,713	02-16-1982	Verplanke	
	185	US-4,323,331	04-06-1982	Schachle et al.	
	186	US-4,324,985	04-13-1982	Oman	
	187	US-4,330,714	05-18-1982	Smith	
	188	US-4,340,822	07-20-1982	Gregg	
	189	US-4,350,895	09-21-1982	Cook	
	190	US-4,350,898	09-21-1982	Benoit	
	191	US-4,350,900	09-21-1982	Baughman	
	192	US-4,357,130	11-02-1982	Forrest	
	193	US-4,359,311	11-16-1982	Benesh	
	194	US-4,362,470	12-07-1982	Locastro et al.	
	195	US-4,366,386	12-28-1982	Hanson	
	196	US-4,369,629	01-25-1983	Lockwood	
	197	US-4,379,972	04-12-1983	Sosa et al.	
	198	US-4,396,843	08-02-1983	Martinez Parra	
	199	US-4,398,096	08-09-1983	Faurholtz	
	200	US-4,411,588	10-25-1983	Currah, Jr.	
	201	US-4,421,452	12-20-1983	Rougemont	
	202	US-4,428,711	01-31-1984	Archer	
	203	US-4,443,155	04-17-1984	Smith	
	204	US-4,447,738	05-08-1984	Allison	
	205	US-4,449,887	05-22-1984	Mundhenke	
	206	US-4,450,364	05-22-1984	Benoit	
	207	US-4,461,957	07-24-1984	Jallen	
	208	US-4,491,739	01-01-1985	Watson	
	209	US-4,494,007	01-15-1985	Gaston	
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	214	US-4,545,729	10-08-1985	Storm	
	215	US-4,720,640	01-19-1988	Anderson et al.	
	216	US-4,474,529	10-02-1984	Kinsey	

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			Art Unit	3745	
			Examiner Name	TBD	
Sheet	7	of	10	Attorney Docket Number	FAN-001

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		Number - Kind Code <sup>2</sup> (if known)			
	217	US-4,490,623	12-25-1984	Goedecke	
	218	US-4,516,907	05-14-1985	Edwards	
	219	US-4,530,638	07-23-1985	Andruskiw et al.	
	220	US-4,547,124	10-15-1985	Kliatkin et al.	
	221	US-4,571,152	02-18-1986	Tatar	
	222	US-4,585,950	04-29-1986	Lund	
	223	US-4,589,344	05-20-1986	Davison	
	224	US-4,606,697	08-19-1986	Appel	
	225	US-4,613,760	09-23-1986	Law	
	226	US-4,616,973	10-14-1986	Souchik, Jr.	
	227	US-4,619,585	10-28-1986	Storm	
	228	US-4,648,787	03-10-1987	Brünig et al.	
	229	US-4,652,206	03-24-1987	Yeoman	
	230	US-4,678,923	07-07-1987	Trepanier	
	231	US-4,684,817	08-04-1987	Goldwater	
	232	US-4,700,081	10-13-1987	Kos et al.	
	233	US-4,710,100	12-01-1987	Laing et al.	
	234	US-4,715,776	12-29-1987	Benesh	
	235	US-4,722,665	02-02-1988	Tyson	
	236	US-4,764,683	08-16-1988	Coombes	
	237	US-4,784,570	11-15-1988	Bond	
	238	US-4,808,068	02-28-1989	Asbjornson et al.	
	239	US-4,832,571	05-23-1989	Carrol	
	240	US-4,838,757	06-13-1989	Benesh	
	241	US-4,850,265	07-25-1989	Raisanen	
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	244	US-4,859,140	08-22-1989	Passadore	
	245	US-4,963,761	10-16-1990	Wight	
	246	US-5,009,569	04-23-1991	Hector, Sr. et al	
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	249	US-5,038,049	08-06-1991	Kato	
	250	US-5,083,039	01-21-1992	Richardson et al.	
	251	US-5,083,899	01-28-1992	Koch	
	252	US-5,112,195	05-12-1992	Cox	

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	253	US-5,134,305	07-28-1992	Senehi	
	254	US-5,137,417	08-11-1992	Lund	
	255	US-5,140,170	08-18-1992	Henderson	
	256	US-5,211,539	05-18-1993	McCarty	
	257	US-5,299,913	04-05-1994	Heidelberg	
	258	US-5,332,354	07-26-1994	Lamont	
	259	US-5,350,273	09-27-1994	Hector, Sr. et al.	
	260	US-5,380,149	01-10-1995	Valsamidis	
	261	US-5,391,926	02-21-1995	Staley et al.	
	262	US-5,447,412	09-05-1995	Lamont	
	263	US-5,463,257	10-31-1995	Yea	
	264	US-5,457,346	10-10-1995	Blumberg et al.	
	265	US-5,472,311	12-05-1995	Davis	
	266	US-5,506,453	04-09-1996	McCombs	
	267	US-5,553,996	09-10-1996	Farrar	
	268	US-5,599,172	02-04-1997	McCabe	
	269	US-5,669,758	09-23-1997	Williamson	
	270	US-5,709,419	01-20-1998	Roskey	
	271	US-5,734,202	03-31-1998	Shuler	
	272	US-5,758,911	06-02-1998	Gerhardt	
	273	US-5,827,044	10-27-1998	Yazici et al.	
	274	US-5,852,331	12-22-1998	Giorgini	
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	276	US-5,895,201	04-20-1999	Huovinen	
	277	US-5,910,688	06-08-1999	Li	
	278	US-5,967,749	10-19-1999	Eaves et al.	
	279	US-5,977,649	11-02-1999	Dahill	
	280	US-5,992,341	11-30-1999	Gerhardt	
	281	US-6,064,123	05-16-2000	Gislason	
	282	US-6,069,409	05-30-2000	Fowler et al.	
	283	US-6,126,385	10-03-2000	Lamont	
	284	US-6,157,088	12-05-2000	Bendix	
	285	US-6,158,953	12-12-2000	Lamont	
	286	US-6,172,429	01-09-2001	Russell	
	287	US-6,191,496	02-20-2001	Elder	
	288	US-6,201,315	03-13-2001	Larsson	

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Sheet	9	of	10		

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